

US Securities Services (The following fees are charged according to the transaction currency, unless otherwise stated)

- 1. Securities Trading Brokerage Fee**
 - Via Mobile Trading Platform 0.3% of transaction amount, minimum USD10
- 2. Securities Trading other charges**
 - SEC Fee (For sell transaction only) USD 0.0000278 x Value of Aggregate Sales
 - FINRA Trading Fee (For sell transaction only) USD 0.000166 x Quantity Sold, maximum USD8.30
- 3. Deposit Charge for Purchase of Securities, Deposit of Securities, Warrants, Bonus Issue or Scrip in lieu of cash dividends**
 - Deposit charge for purchase transaction: waived
 - Deposit charge for purchase of Warrants: waived
 - Settlement Instruction (Transfer-in): waived
- 4. Safe Custody**
 - VIP Banking Securities Accounts HKD100
 - General Customer Securities Accounts HKD150

The fee charged half-yearly at early of June and December each year, applicable for those Securities Accounts / Margin Securities Accounts which have securities transaction(s) or securities holdings in the 6 months period ended 31 May and 30 November respectively.
- 5. Settlement Instruction (Transfer out)** USD50 per stock
- 6. Collection of Dividends / Interest or Scrip in lieu of cash dividends** Waived
- 7. Exercise of Warrants / Rights Issues / Open Offers / Conversion of Shares / Share Exchange Offers, Privatizations or Other Corporate Actions in respect of receipt of securities** Waived
- 8. Bonus Issue / Warrant / Subdivision / Consolidation** Waived
- 9. Commission on receipt / delivery against payment** Waived
- 10. Cash Offers, Privatizations or Other Corporate Actions with cash consideration** Waived
- 11. Dividend Claim Charges** USD50 per making of claim
- 12. American Depository Receipt ("ADR") Fee** Customers holding ADRs may be charged ADR fee regularly (eg. annually) by the depository receipts agent through the relevant Broking Company. ADR fee is subject to the final confirmation from the depository receipts agent and the fee range is USD0.01 to USD0.05 per share.

In the event of any inconsistency between the English version and the Chinese version, the English version shall prevail.

All out-of-pocket expenses are for account of clients. For charges not listed above, please contact our branches / department or visit www.dahsing.com for details. The Bank reserves the right to revise any charges, special offers or introduce charges not included in this booklet. If you have any queries about any of the charges mentioned in this booklet, please call our Customer Service Hotline: 2828 7028 (Securities Customers)