

上調投資產品的產品風險級別通知
Notice on Upward Adjustment of Product Risk Rating for Investment Products

Product Code 產品代號	Product Name 產品名稱	Previous Product Risk Rating 原有的產品風險級別	Adjusted Product Risk Rating 調整後的產品風險級別
ACC8015246	AB - Mortgage Income Portfolio (HKD)(AA)(Mdis-Cash) 聯博 - 按揭收益基金 (港元)(AA)(每月派息-現金派息)	2	3
ACC8246824	AB - Mortgage Income Portfolio (AUD-H)(AA)(Mdis-Cash) 聯博 - 按揭收益基金 (澳元對沖)(AA)(每月派息-現金派息)	2	4
ACC8248935	AB - Mortgage Income Portfolio (AUD-H)(AT)(Mdis-Cash) 聯博 - 按揭收益基金 (澳元對沖)(AT)(每月派息-現金派息)	2	4
ACM8015246	AB - Mortgage Income Portfolio (HKD)(AA)(Mdis-Unit) 聯博 - 按揭收益基金 (港元)(AA)(每月派息-單位派息)	2	3
ACM8246824	AB - Mortgage Income Portfolio (AUD-H)(AA)(Mdis-Unit) 聯博 - 按揭收益基金 (澳元對沖)(AA)(每月派息-單位派息)	2	4
ACM8248935	AB - Mortgage Income Portfolio (AUD-H)(AT)(Mdis-Unit) 聯博 - 按揭收益基金 (澳元對沖)(AT)(每月派息-單位派息)	2	4
ACC8246825	AB - Mortgage Income Portfolio (USD)(AA)(Mdis-Cash) 聯博 - 按揭收益基金 (美元)(AA)(每月派息-現金派息)	2	3

ACC8246826	AB - Mortgage Income Portfolio (USD)(AT)(Mdis-Cash) 聯博 - 按揭收益基金 (美元)(AT)(每月派息-現金派息)	2	3
ACM8246822	AB - Mortgage Income Portfolio (USD)(A2)(Acc) 聯博 - 按揭收益基金 (美元)(A2)(累積)	2	3
ACM8246825	AB - Mortgage Income Portfolio (USD)(AA)(Mdis-Unit) 聯博 - 按揭收益基金 (美元)(AA)(每月派息-單位派息)	2	3
ACM8246826	AB - Mortgage Income Portfolio (USD)(AT)(Mdis-Unit) 聯博 - 按揭收益基金 (美元)(AT)(每月派息-單位派息)	2	3
ADE5074009	Aberdeen Jpn Smaller Coms Sustainable Eqy Fd (JPY)(A)(Acc) 安本 - 日本小型公司可持續股票基金 (日元)(A)(累積)	3	4
ADE8216270	Aberdeen Jpn Smaller Coms Sustainable Eqy Fd (USD-H)(A)(Acc) 安本 - 日本小型公司可持續股票基金 (美元對沖)(A)(累積)	3	4
ADE8228683	Aberdeen North American SmallerComp Fd(USD)(A)(Acc) 安本 - 北美小型公司基金 (美元)(A)(累積)	3	4
MAN0007175	AHL Diversified Futures Ltd. (Tranche B) AHL Diversified Futures Ltd. (Tranche B)	4	5
ACM8052659	AllianceBernstein-American Growth Portfolio (EUR-H)(B)(Acc) 聯博- 美國增長基金 (歐元對沖)(B)(累積)	3	4
ACM8052658	AllianceBernstein-American Growth Portfolio (EUR-H)(A)(Acc) 聯博- 美國增長基金 (歐元對沖)(A)(累積)	3	4
ACM0060067	AllianceBernstein-American Income Pf (EUR)(A)(Mdis-Unit) 聯博 - 美元收益基金 (歐元)(A)(每月派息-單位派息)	2	3

ACC0060067	AllianceBernstein-American Income Pf (EUR)(A)(Mdis-Cash) 聯博 - 美元收益基金 (歐元)(A)(每月派息-現金派息)	2	3
ACC5072847	AllianceBernstein-American Income Pf (EUR)(AT)(Mdis-Cash) 聯博 - 美元收益基金 (歐元)(AT)(每月派息-現金派息)	2	3
ACM5072847	AllianceBernstein-American Income Pf (EUR)(AT)(Mdis-Unit) 聯博 - 美元收益基金 (歐元)(AT)(每月派息-單位派息)	2	3
DBC8635723	Allianz American Income Fund (AUD-H2)(AM)(Mdis-Cash) 安聯美元收益基金 (澳元對沖-H2)(AM)(每月派息-現金派息)	2	3
DBE8635723	Allianz American Income Fund (AUD-H2)(AM)(Mdis-Unit) 安聯美元收益基金 (澳元對沖-H2)(AM)(每月派息-單位派息)	2	3
DBC8237439	Allianz Core Plus Fund(RMB-H2)AM(Mdis-Cash) 安聯債券增值基金(人民幣對沖-H2)AM(每月派息-現金派息)	2	3
DBC8602599	Allianz Core Plus Fund(RMB-H2)AMg Mdis-Cash 安聯債券增值基金(人民幣對沖-H2)AMg(每月派息-現金派息)	2	3
DBE8459050	Allianz Global Artificial Intelligence Fd(SGD-H2)(AT)(Acc) 安聯環球人工智能股票基金(新加坡元對沖-H2)(AT)(累積)	4	5
DBC0078765	Allianz Global Sustainability Fund(EUR)(A)(Dis-Cash) 安聯全球永續發展基金(歐元)(A)(現金派息)	3	4
DBC0081110	Allianz Global Sustainability Fund(USD)(A)(Dis-Cash) 安聯全球永續發展基金(美元)(A)(現金派息)	3	4

DBC8565115	Allianz Global Sustainability Fund(USD)(AMg)(Mdis-Cash) 安聯全球永續發展基金(美元)(AMg)(每月派息-現金派息)	3	4
DBC8565116	Allianz Global Sustainability Fund(USD)(AM)(Mdis-Cash) 安聯全球永續發展基金(美元)(AM)(每月派息-現金派息)	3	4
DBE0078765	Allianz Global Sustainability Fund(EUR)(A)(Dis-Unit) 安聯全球永續發展基金(歐元)(A)(單位派息)	3	4
DBE0081110	Allianz Global Sustainability Fund(USD)(A)(Dis-Unit) 安聯全球永續發展基金(美元)(A)(單位派息)	3	4
DBE8565115	Allianz Global Sustainability Fund(USD)(AMg)(Mdis-Unit) 安聯全球永續發展基金(美元)(AMg)(每月派息-單位派息)	3	4
DBE8565116	Allianz Global Sustainability Fund(USD)(AM)(Mdis-Unit) 安聯全球永續發展基金(美元)(AM)(每月派息-單位派息)	3	4
DBE0001817	Allianz Hong Kong Equity Fund (USD) (A) (Dis-Unit) 安聯香港股票基金 (美元) (A) (單位派息)	3	4
DBE8210721	Allianz Income and Growth Fund (EUR-H2)(AM)(Dis-Unit) 安聯收益及增長基金 (歐元對沖-H2)(AM)(單位派息)	2	3
DBE8210720	Allianz Income and Growth Fund (GBP-H2)(AM)(Dis-Unit) 安聯收益及增長基金 (英鎊對沖-H2)(AM)(單位派息)	2	3
DBE8210719	Allianz Income and Growth Fund (CAD-H2)(AM)(Dis-Unit) 安聯收益及增長基金 (加元對沖-H2)(AM)(單位派息)	2	3

DBC8210721	Allianz Income and Growth Fund (EUR-H2)(AM)(Dis-Cash) 安聯收益及增長基金 (歐元對沖-H2)(AM)(現金派息)	2	3
DBC8210719	Allianz Income and Growth Fund (CAD-H2)(AM)(Dis-Cash) 安聯收益及增長基金 (加元對沖-H2)(AM)(現金派息)	2	3
DBC8210720	Allianz Income and Growth Fund (GBP-H2)(AM)(Dis-Cash) 安聯收益及增長基金 (英鎊對沖-H2)(AM)(現金派息)	2	3
DBC8577275	Allianz Selection Income and Growth Fd(AUD-H2)(AM)(Dis-Cash) 安聯寰通收益及增長基金 (澳元對沖-H2)(AM)(現金派息)	2	3
DBC8577280	Allianz Selection Income and Growth Fd(NZD-H2)(AM)(Dis-Cash) 安聯寰通收益及增長基金 (紐元對沖-H2)(AM)(現金派息)	2	3
DBE8577275	Allianz Selection Income and Growth Fd(AUD-H2)(AM)(Dis-Unit) 安聯寰通收益及增長基金 (澳元對沖-H2)(AM)(單位派息)	2	3
DBE8577280	Allianz Selection Income and Growth Fd(NZD-H2)(AM)(Dis-Unit) 安聯寰通收益及增長基金 (紐元對沖-H2)(AM)(單位派息)	2	3
DBC8651668	Allianz Thematic Income Fund (USD)(AMg)(Mdis-Cash) 安聯精選主題收益基金 (美元)(AMg)(每月派息-現金派息)	2	3
DBC8651670	Allianz Thematic Income Fund (AUD-H2)(AMg)(Mdis-Cash) 安聯精選主題收益基金 (澳元對沖-H2)(AMg)(每月派息-現金派息)	2	3
DBC8651671	Allianz Thematic Income Fund (GBP-H2)(AMg)(Mdis-Cash)	2	3

	安聯精選主題收益基金 (英鎊對沖-H2)(AMg)(每月派息-現金派息)		
DBE8651663	Allianz Thematic Income Fund (USD)(AM)(Mdis-Unit) 安聯精選主題收益基金 (美元)(AM)(每月派息-單位派息)	2	3
DBC8651663	Allianz Thematic Income Fund (USD)(AM)(Mdis-Cash) 安聯精選主題收益基金 (美元)(AM)(每月派息-現金派息)	2	3
DBE8651665	Allianz Thematic Income Fund (AUD-H2)(AM)(Mdis-Unit) 安聯精選主題收益基金 (澳元對沖-H2)(AM)(每月派息-單位派息)	2	3
DBE8651666	Allianz Thematic Income Fund (GBP-H2)(AM)(Mdis-Unit) 安聯精選主題收益基金 (英鎊對沖-H2)(AM)(每月派息-單位派息)	2	3
DBE8651668	Allianz Thematic Income Fund (USD)(AMg)(Mdis-Unit) 安聯精選主題收益基金 (美元)(AMg)(每月派息-單位派息)	2	3
DBC8651665	Allianz Thematic Income Fund (AUD-H2)(AM)(Mdis-Cash) 安聯精選主題收益基金 (澳元對沖-H2)(AM)(每月派息-現金派息)	2	3
DBC8651666	Allianz Thematic Income Fund (GBP-H2)(AM)(Mdis-Cash) 安聯精選主題收益基金 (英鎊對沖-H2)(AM)(每月派息-現金派息)	2	3
DBE8651670	Allianz Thematic Income Fund (AUD-H2)(AMg)(Mdis-Unit) 安聯精選主題收益基金 (澳元對沖-H2)(AMg)(每月派息-單位派息)	2	3
DBE8651671	Allianz Thematic Income Fund (GBP-H2)(AMg)(Mdis-Unit)	2	3

	安聯精選主題收益基金 (英鎊對沖-H2)(AMg)(每月派息-單位派息)		
CAA8090876	Amundi Defensive Balanced Fund (USD)(Acc) 東方匯理香港組合 - 靈活配置平穩基金 (美元)(累積)	2	3
CAC8237352	Amundi Defensive Balanced Fund (USD)(Mdis-Cash) 東方匯理香港組合 - 靈活配置平穩基金 (美元)(每月派息-現金派息)	2	3
CAC8366493	Amundi Defensive Balanced Fund (HKD)(Mdis-Cash) 東方匯理香港組合 - 靈活配置平穩基金 (港元)(每月派息-現金派息)	2	3
CAA8402434	Amundi HK - Disruptive Opp Eqy Fd(USD)(Classic)(Acc) 東方匯理香港組合 - 創新動力股票基金(美元)(普通)(累積)	4	5
CAC8437193	Amundi HK - Disruptive Opp Eqy Fd(USD)(Classic)(Mdis-Cash) 東方匯理香港組合 - 創新動力股票基金(美元)(普通)(每月派息-現金派息)	4	5
CAC8437194	Amundi HK - Disruptive Opp Eqy Fd(HKD)(Classic)(Mdis-Cash) 東方匯理香港組合 - 創新動力股票基金(港元)(普通)(每月派息-現金派息)	4	5
CAC8437196	Amundi HK - Disruptive Opp Eqy Fd(AUD-H)(Classic)(Mdis-Cash) 東方匯理香港組合 - 創新動力股票基金(澳元對沖)(普通)(每月派息-現金派息)	4	5
CAC8437200	Amundi HK - Disruptive Opp Eqy Fd(RMB-H)(Classic)(Mdis-Cash) 東方匯理香港組合 - 創新動力股票基金(人民幣對沖)(普通)(每月派息-現金派息)	4	5
BRK8508929	BlackRock Future of Transport Fund (USD)(A2)(Acc) 貝萊德新世代交通基金(美元)(A2)(累積)	3	4

BRK8508934	BlackRock Future of Transport Fund (EUR-H)(A2)(Acc) 貝萊德新世代交通基金(歐元對沖)(A2)(累積)	3	4
BRC8284638	BlackRock Global Multi-Asset Income Fd(EUR-H)(A6)(Mdis-Cash) 貝萊德環球多元資產入息基金(歐元對沖)(A6)(每月派息-現金派息)	2	3
BRK8284638	BlackRock Global Multi-Asset Income Fd(EUR-H)(A6)(Mdis-Unit) 貝萊德環球多元資產入息基金(歐元對沖)(A6)(每月派息-單位派息)	2	3
BRK0056149	BlackRock Sustainable Energy Fund (USD)(A2)(Acc) 貝萊德可持續能源基金(美元)(A2)(累積)	3	4
BNP0023820	BNP Paribas Funds China Equity Fund(USD)(Classic)(Acc) 法巴中國股票基金(美元)(經典)(累積)	4	5
BNP8271287	BNP Paribas Funds Consumer Innovators Fd(USD)Classic(CAP)Acc 法巴主要消費品創新股票基金(美元)經典(CAP)累積	3	4
BNP5104275	BNP Paribas Funds Emerging Bond Opp(EUR-H)(Classic H)(Acc) 法巴全球新興市場優選債券基金(歐元對沖)(經典 H)(累積)	4	5
BNP5137705	BNP Paribas Funds Europe Emerging Equity(USD)(Classic)(Acc) 法巴新興歐洲股票基金(美元)(經典)(累積)	4	5
DAM5055920	DWS Invest Global Agribusiness LC (USD) DWS Invest Global Agribusiness LC (USD)	4	5
FIC0091288	Fidelity America Fund (EUR)(A)(Dis-Cash) 富達美國基金(歐元)(A)(現金派息)	3	4
FID0091288	Fidelity America Fund (EUR)(A)(Dis-Unit) 富達美國基金(歐元)(A)(單位派息)	3	4
FID5044777	Fidelity Sustainable Euro Cash Fund (EUR) (A) (Acc) 富達基金 - 歐元現金基金(歐元)(A)(累積)	1	2

FIC0033967	Fidelity Global Financial Services Fund (EUR)(A)(Dis-Cash) 富達環球金融服務基金 (歐元)(A)(現金派息)	3	4
FIC8232444	Fidelity Global Financial Services Fund (USD)(A)(Dis-Cash) 富達環球金融服務基金 (美元)(A)(現金派息)	3	4
FID0033967	Fidelity Global Financial Services Fund (EUR)(A)(Dis-Unit) 富達環球金融服務基金 (歐元)(A)(單位派息)	3	4
FID8232444	Fidelity Global Financial Services Fund (USD)(A)(Dis-Unit) 富達環球金融服務基金 (美元)(A)(單位派息)	3	4
FID8527707	Fidelity Sustainable Global Div Plus Fd(USD-H)A(MincG-Unit) 富達可持續發展環球股息優勢基金(美元對沖)A(每月特色派息(G)-單位派息)	3	4
FIC8527705	Fidelity Sustainable Global Div Plus Fd(AUD-H)A(MincG-Cash) 富達可持續發展環球股息優勢基金(澳元對沖)A(每月特色派息(G)-現金派息)	3	5
FIC8527707	Fidelity Sustainable Global Div Plus Fd(USD-H)A(MincG-Cash) 富達可持續發展環球股息優勢基金(美元對沖)A(每月特色派息(G)-現金派息)	3	4
FID8527705	Fidelity Sustainable Global Div Plus Fd(AUD-H)A(MincG-Unit) 富達可持續發展環球股息優勢基金(澳元對沖)A(每月特色派息(G)-單位派息)	3	5
FIC8690058	Fidelity Sustainable Global Div Plus Fd(USD)A(MCDIS(G)-Cash) 富達可持續發展環球股息優勢基金(美元)(A)(C 每月派息(G)-現金派息)	3	4
FID8690058	Fidelity Sustainable Global Div Plus Fd(USD)A(MCDIS(G)-Unit) 富達可持續發展環球股息優勢基金(美元)(A)(C 每月派息(G)-單位派息)	3	4
TMP0052703	Franklin US Opportunities Fund (USD) (A) (Acc) 富蘭克林美國機會基金 (美元) (A) (累積)	3	4

INV0058600	Invesco SICAV Energy Transition Fund (USD)(A)(Acc) 景順 SICAV 能源轉型基金(美元) (A) (累積)	3	4
INV5121838	Invesco SICAV Energy Transition Fund (EUR-H)(A)(Acc) 景順 SICAV 能源轉型基金(歐元對沖) (A) (累積)	3	4
INV8045027	Invesco SICAV Energy Transition Fund (USD)(AD)(Dis-Unit) 景順 SICAV 能源轉型基金(美元) (AD) (單位派息)	3	4
INV8118698	Invesco SICAV Energy Transition Fund (HKD)(A)(Acc) 景順 SICAV 能源轉型基金(港元) (A) (累積)	3	4
INC8045027	Invesco SICAV Energy Transition Fund (USD)(AD)(Dis-Cash) 景順 SICAV 能源轉型基金(美元) (AD) (現金派息)	3	4
INV0003170	INVESCO SICAV Nippon Small/Mid Cap Equity Fd 景順 SICAV 日本小型企業基金 - A	3	4
INV8118671	Invesco SICAV Nippon Small/Mid Cap Equity Fd(USD)(A)Dis-Unit 景順 SICAV 日本小型企業基金 (美元)(A)(單位派息)	3	4
INV8484754	INVESCO SICAV Pacific Equity Fund 景順 SICAV 太平洋基金 - A	3	4
INV8048399	Invesco SICAV Sustainable Global Str Eqy Fd(EUR-H)(A)Acc 景順 SICAV 永續性環球量化基金 (歐元對沖)(A)(累積)	3	4
HEN0002856	Janus Henderson Horizon Global Tech Leaders Fd(USD)(A2)(Acc) 駿利亨德森遠見基金-環球科技領先基金- A2	3	4
JFM0033899	JPM Euroland Equity Fund (EUR)(A)(Dis-Unit) 摩根基金-歐元區股票基金(歐元) (A) (單位派息)	3	4

JFM0033901	JPM Europe Small Cap Fund (EUR)(A)(Dis-Unit) JPM 歐洲小型企業基金 (歐元)(A)(單位派息)	3	4
JFC8364510	JPMorgan Europe Strategic Dividend Fund(AUD-H)(Mdis-Cash) 摩根歐洲市場策略股息基金(澳元對沖)(每月派息-現金派息)	3	4
JFC8364511	JPMorgan Europe Strategic Dividend Fund(EUR)(Mdis-Cash) 摩根歐洲市場策略股息基金(歐元)(每月派息-現金派息)	3	4
JFC8364512	JPMorgan Europe Strategic Dividend Fund(HKD-H)(Mdis-Cash) 摩根歐洲市場策略股息基金(港元對沖)(每月派息-現金派息)	3	4
JFC8364513	JPMorgan Europe Strategic Dividend Fund(RMB-H)(Mdis-Cash) 摩根歐洲市場策略股息基金(人民幣對沖)(每月派息-現金派息)	3	4
JFC8364514	JPMorgan Europe Strategic Dividend Fund(USD-H)(Mdis-Cash) 摩根歐洲市場策略股息基金(美元對沖)(每月派息-現金派息)	3	4
CTY8214255	Legg Mason CB US Aggressive Growth Fd(AUD-H)(A)(Acc) 美盛凱利美國進取型增長基金(澳元對沖)(A)(累積)	3	4
PNI3500449	Principal International Equity Fd(HKD)(Retail Class)(Acc) 信安國際股票基金(港元)(零售類單位)(累積)	3	4
SCH5029310	Schroder Alternative Solutions Commodity Fund A (Acc) USD 施羅德商品基金 A ACC	4	5
SCH0074351	Schroder ISF Hong Kong Equity (HKD) (A) (Acc) 施羅德環球基金系列 - 香港股票基金 (港元)(A) (累積)	3	4
VPP0088930	Value Partners Chinese Mainland Focus Fund (USD) 惠理中國大陸焦點基金 (美元)	4	5

ID17001	Interest Rate Linked Deposit (ID17001) 利率聯繫投資存款 (ID17001)	2	4
ID17002	Interest Rate Linked Deposit (ID17002) 利率聯繫投資存款 (ID17002)	2	4
ID17003	Interest Rate Linked Deposit (ID17003) 利率聯繫投資存款 (ID17003)	2	4
5430653P	AIA Group Ltd 3.375% 20300407_5430653P AIA Group Ltd 3.375% 20300407_5430653P	2	3
5431079P	Alibaba Group Hldg Ltd 4.2% 20471206_5431079P Alibaba Group Hldg Ltd 4.2% 20471206_5431079P	3	4
5430580P	Apple Inc. 2.2% 20290911 (5430580P) Apple Inc. 2.2% 20290911 (5430580P)	2	3
5430654P	Baidu, Inc. 3.425% 20300407 (5430654P) Baidu, Inc. 3.425% 20300407 (5430654P)	2	3
5430930P	Banco Santander S.A. 4.75% Perpetual_5430930P Banco Santander S.A. 4.75% Perpetual_5430930P	4	5
5430978P	Barclays PLC 4.375% Perp_5430978P Barclays PLC 4.375% Perp_5430978P	4	5
5430166P	Barclays PLC 5.875% Perp_5430166P Barclays PLC 5.875% Perpetual (5430166P)	4	5
5430098P	Barclays PLC 7.25% Perp_5430098P Barclays PLC 7.25% Perpetual (5430098P)	4	5
5430303P	Barclays PLC 7.75% Perp_5430303P Barclays PLC 7.75% Perpetual (5430303P)	4	5
5430416P	Barclays PLC 8% Perp_5430416P Barclays PLC 8% Perpetual (5430416P)	4	5
5430476P	BNP Paribas 4.5% Perp_5430476P BNP Paribas 4.5% Perpetual (5430476P)	4	5
5430534P	BOC Aviation Ltd 3% 20290911_5430534P BOC Aviation Ltd 3% 20290911_5430534P	2	3
5430763P	BOC Aviation Ltd 3.15% 20290711_5430763P	2	4
5430996P	Bupa Fin plc 4.000% Perp_5430996P Bupa Fin plc 4.000% Perp_5430996P	4	5
5430375P	Celestial Miles Ltd 5.75% Perp_5430375P Celestial Miles Ltd 5.75% Perp_5430375P	4	5
5430973P	China CITIC Bank Intl Ltd 3.25% Perp_5430973P	4	5
5430343P	China CITIC Bank International Limited 7.1% Perpetual (5430343P)	4	5

	China CITIC Bank International Limited 7.1% Perpetual (5430343P)		
5430727P	Chong Hing Bank Ltd 5.5% Perp_5430727P Chong Hing Bank Ltd 6% area Perp_5430727P	4	5
5430479P	Chong Hing Bank Ltd 5.7% Perp_5430479P Chong Hing Bank Limited 6.1% area Perpetual (5430479P)	4	5
5430667P	CK Hutchison Intl (20) Ltd 3.375% 20500508_5430667P CK Hutchison Intl (20) Ltd 3.375% 20500508_5430667P	2	4
5430372P	CMB Wing Lung Bank Ltd 6.5% Perp_5430372P CMB Wing Lung Bank Limited 6.5% Perpetual (5430372P)	4	5
5430879P	CNOOC Fin (2013) Ltd 3.3% 20490930_5430879P CNOOC Fin (2013) Ltd 3.3% 20490930_5430879P	2	4
5431069P	CNOOC Finance (2012) Ltd 5.0% 20420502_5431069P CNOOC Finance (2012) Ltd 5.0% 20420502_5431069P	2	3
5430547P	Commerzbank 7% Perp_5430547P Commerzbank Aktiengesellschaft, Frankfurt am Main 7% Perpetual (5430547P)	4	5
5430847P	Credit Suisse Group AG 4.5% Perp_5430847P Credit Suisse Group AG 4.5% Perp_5430847P	4	5
5430744P	Credit Suisse Group AG 5.25% Perp_5430744P Credit Suisse Group AG 5.25% Perp_5430744P	4	5
4490023P	Credit Suisse Group AG 6.25% Perp_4490023P Credit Suisse Group AG 6.25% Perpetual (4490023P)	4	5
5430503P	Credit Suisse Group AG 6.375% Perp_5430503P Credit Suisse Group AG 6.375% Perp_5430503P	4	5
5430083P	Credit Suisse Group AG 7.125% Perp_5430083P Credit Suisse Group AG 7.125% Perpetual (5430083P)	4	5
5430334P	Credit Suisse Group AG 7.25% Perp_5430334P Credit Suisse Group AG 7.25% Perpetual (5430334P)	4	5

5430291P	Credit Suisse Group AG 7.5% Perp_5430291P Credit Suisse Group AG 7.875% area Perpetual (5430291P)	4	5
5430620P	Credit Suisse Group AG. 5.1% Perp_5430620P Credit Suisse Group AG. 5.1% Perpetual (5430620P)	4	5
5430600P	Daimler Finance North America LLC 3.1% 20290815 (5430600P) Daimler Finance North America LLC 3.1% 20290815 (5430600P)	2	3
5430631P	Deutsche Bank AG 6% Perp_5430631P Deutsche Bank AG 6.0% Perpetual (5430631P)	4	5
5430573P	Electricite de France 4.5% 20691204_5430573P Electricite de France 4.5% 20691204_5430573P	3	4
5430750P	Electricite de France 6% Perp_5430750P Electricite de France 6% Perp_5430750P	4	5
5430518P	Huarong Fin 2017 Co Ltd 4.95% 20471107_5430518P Huarong Fin 2017 Co Ltd 4.95% 20471107_5430518P	3	4
5430864P	Huarong Fin 2019 Co Ltd 4.25% Perp_5430864P Huarong Fin 2019 Co Ltd 4.25% Perp_5430864P	4	5
5430524P	ING Groep N.V. 6.75% Perpetual (5430524P) ING Groep N.V. 6.75% Perpetual (5430524P)	4	5
5430675P	JD.com Inc 4.125% 20500114_5430675P JD.com Inc 4.125% 20500114_5430675P	2	4
5430097P	Macquarie Bank Ltd London Branch 6.125% Perp_5430097P Macquarie Bank Limited, acting through its London Branch 6.125% Perpetual (5430097P)	4	5
5430596P	McDonald's Corporation 2.625% 20290901 (5430596P) McDonald's Corporation 2.625% 20290901 (5430596P)	2	3
5430179P	Mexico City Airport Trust 5.5% 20470731_5430179P Mexico City Airport Trust 5.5% 20470731 (5430179P)	3	4
5430656P	Mitsubishi HC Capital Inc 3.967% 20300413_5430656P	2	3

	Mitsubishi HC Capital Inc 3.967% 20300413_5430656P		
5430526P	Nationwide Building Society 5.875% Perpetual (5430526P) Nationwide Building Society 5.875% Perpetual (5430526P)	4	5
5430946P	NWD Fin (BVI) Ltd 4.125% Perp_5430946P NWD Fin (BVI) Ltd 4.125% Perp_5430946P	4	5
5430836P	NWD Fin (BVI) Ltd 4.8% Perp_5430836P NWD Fin (BVI) Ltd 5.25% area Perp_5430836P	4	5
5430696P	NWD Fin (BVI) Ltd 5.25% Perp_5430696P NWD Fin (BVI) Ltd 5.75% area Perp_5430696P	4	5
5431081P	NWD Fin (BVI) Ltd 6.15% Perp_5431081P NWD Fin (BVI) Ltd 6.50% area Perp_5431081P	4	5
5430407P	NWD Fin (BVI) Ltd 6.25% Perp_5430407P NWD Fin (BVI) Ltd 6.25% Perp_5430407P	4	5
5430230P	FWD Ltd 5.5% Perp_5430230P PCGI Intermediate Hldg(s) Ltd 5.5% Perp_5430230P	4	5
5430739P	Prosus N.V. 4.027% 20500803_5430739P Prosus N.V. 4.027% 20500803_5430739P	3	4
5430778P	PT Pertamina (Persero) 4.175% 20500121_5430778P PT Pertamina (Persero) 4.175% 20500121_5430778P	3	4
5430773P	PT Perusahaan Listrik Negara 4.375% 20500205_5430773P PT Perusahaan Listrik Negara 4.375% 20500205_5430773P	3	4
5430496P	Perusahaan Perseroan (Persero) PT Perusahaan Listrik Negara 4.875% 20490717 (5430496P) Perusahaan Perseroan (Persero) PT Perusahaan Listrik Negara 4.875% 20490717 (5430496P)	3	4
5430784P	PTT Treasury Center Co Ltd 3.7% 20700716_5430784P PTT Treasury Center Co Ltd 3.7% 20700716_5430784P	3	4
5430785P	PTT Treasury Center Co Ltd 5.875% 20350803_5430785P PTT Treasury Center Co Ltd 5.875% 20350803_5430785P	2	3
5430828P	Saudi Arabian Oil Co 3.25% 20501124_5430828P	2	4

	Saudi Arabian Oil Co 3.25% 20501124_5430828P		
5430509P	Societe Generale 4.875% Perp_5430509P Societe Generale 4.875% Perpetual (5430509P)	4	5
5430823P	Societe Generale 5.375% Perpetual_5430823P Societe Generale 5.375% Perpetual_5430823P	4	5
5430159P	SoftBank Group Corp 6% Perp_5430159P SoftBank Group Corp. 6.375% area Perpetual (5430159P)	4	5
5430158P	SoftBank Group Corp 6.875% Perp_5430158P SoftBank Group Corp. 6.875% Perpetual (5430158P)	4	5
5430979P	Standard Chartered PLC 4.3% Perp_5430979P Standard Chartered PLC 4.3% Perp_5430979P	4	5
5430855P	Standard Chartered PLC 4.75% Perp_5430855P Standard Chartered PLC 5.5% area Perp_5430855P	4	5
5430699P	Standard Chartered PLC 6% Perp_5430699P Standard Chartered PLC 6% Perp_5430699P	4	5
5430073P	Standard Chartered PLC 7.75% Perp_5430073P Standard Chartered PLC 7.75% Perpetual (5430073P)	4	5
5430595P	Starbucks Corporation 3.55% 20290815 (5430595P) Starbucks Corporation 3.55% 20290815 (5430595P)	2	3
5430681P	Tencent Hldg(s) Ltd 2.39% 20300603_5430681P Tencent Hldg(s) Ltd 2.39% 20300603_5430681P	2	3
5431061P	Tencent Hldg(s) Ltd 2.88% 20310422_5431061P Tencent Hldg(s) Ltd 2.88% 20310422_5431061P	2	3
5430680P	Tencent Hldg(s) Ltd 3.24% 20500603_5430680P Tencent Hldg(s) Ltd 3.24% 20500603_5430680P	2	4
5430679P	Tencent Hldg(s) Ltd 3.29% 20600603_5430679P Tencent Hldg(s) Ltd 3.29% 20600603_5430679P	3	4
5430517P	The Bank of East Asia Ltd 5.875% Perp_5430517P	4	5

	The Bank of East Asia, Limited 5.875 Perpetual (5430517P)		
5430660P	The Kingdom of Saudi Arabia 3.75% 20550121_5430660P The Kingdom of Saudi Arabia 3.75% 20550121 (5430660P)	3	4
5430659P	The Kingdom of Saudi Arabia 4.5% 20600422_5430659P The Kingdom of Saudi Arabia 4.5% 20600422 (5430659P)	3	4
5430575P	The Walt Disney Co 2% 20290901_5430575P The Walt Disney Co 2% 20290901_5430575P	2	3
5431058P	TSMC Arizona Corporation 4.25% 20320422_5431058P TSMC Arizona Corporation 4.25% 20320422_5431058P	2	3
5430945P	UBS Group AG 3.875% Perp_5430945P UBS Group AG 3.875% Perp_5430945P	4	5
5430504P	UBS Group AG 4.375% Perp_5430504P UBS Group AG 4.375% Perpetual (5430504P)	4	5
5430906P	Verizon Communications Inc. 2.35% 20280323_5430906P Verizon Communications Inc. 2.35% 20280323_5430906P	2	3
5430907P	Verizon Communications Inc. 3% 20310323_5430907P Verizon Communications Inc. 3% 20310323_5430907P	2	4
5430440P	Vodafone Group Plc. 4.875% 20781003 (5430440P) Vodafone Group Plc. 4.875% 20781003 (5430440P)	4	5
5430326P	Vodafone Group Plc 6.25% 20781003_5430326P Vodafone Group Plc. 6.25% 20781003 (5430326P)	4	5
5430737P	Wells Fargo & Co 3.7% 20260727_5430737P Wells Fargo & Co 3.7% 20260727_5430737P	2	3
5430852P	CIFI Hldg(s) (Group) Co Ltd 4.375% 20270412_5430852P CIFI Hldg(s) (Group) Co Ltd 4.375% 20270412_5430852P	4	5
5430816P	CIFI Hldg(s) (Group) Co Ltd 5.25% 20260513_5430816P	4	5

	CIFI Hldg(s) (Group) Co Ltd 5.25% 20260513_5430816P		
5430754P	CIFI Hldg(s) (Group) Co. Ltd. 5.85% 20230819_5430754P CIFI Hldg(s) (Group) Co. Ltd. 5.85% 20230819_5430754P	4	5
5430551P	CIFI Hldg(s) (Group) Co. Ltd. 6.45% 20241107_5430551P CIFI Hldg(s) (Group) Co. Ltd. 6.45% 20241107_5430551P	4	5
4490203P	Huarong Fin II Co Ltd 4.625% 20260603_4490203P Huarong Fin II Co Ltd 4.625% 20260603_4490203P	2	3
5430052P	Huarong Fin II Co Ltd 4.875% 20261122_5430052P Huarong Fin II Co Ltd 4.875% 20261122_5430052P	2	3
4490138P	Huarong Fin II Co Ltd 5% 20251119_4490138P Huarong Fin II Co Ltd 5% 20251119_4490138P	2	3
4490057P	Huarong Fin II Co Ltd 5.5% 20250116_4490057P Huarong Fin II Co Ltd 5.5% 20250116_4490057P	2	3
5430818P	Joy Treasure Assets Hldg(s) Inc 2.75% 20301117_5430818P Joy Treasure Assets Hldg(s) Inc 2.75% 20301117_5430818P	2	3
5430523P	Joy Treasure Assets Holdings Inc3.5% 20290924_5430523P Joy Treasure Assets Holdings Inc3.5% 20290924_5430523P	2	3
5430411P	Joy Treasure Assets Hldg(s) Inc 4.5% 20290320_5430411P Joy Treasure Assets Holdings Inc. 4.50% 20290320 (5430411P)	2	3
5430663P	Li & Fung Ltd 4.375% 20241004_5430663P Li & Fung Ltd 4.375% 20241004_5430663P	3	4
5430752P	Li & Fung Ltd 4.5% 20250818_5430752P Li & Fung Ltd 4.9% area 2025_5430752P	3	4
5430044P	Li & Fung Ltd 5.25% Perp_5430044P Li & Fung Limited 5.625% area Perpetual (5430044P)	4	5
5430690P	Ronshine China Hldg(s) Ltd 7.35% 20231215_5430690P	4	5

	Ronshine China Hldg(s) Ltd 7.35% 20231215_5430690P		
5430730P	Ronshine China Hldg(s) Ltd. 6.75% 20240805_5430730P Ronshine China Hldg(s) Ltd. 7.25% area 20240805_5430730P	4	5

Adjustment Date / 調整日期：28 Oct 2022

Remarks:

1. Product Risk Rating is classified into 5 levels, ranging from Level 1 to Level 5 (with Level 5 as the highest risk level)

產品風險級別分為五個風險級別，由第一級別至第五級別（以第五級別為最高風險級別）

2. Product Risk Rating is an internal rating assigned by us and for reference purpose. An assigned Product Risk Rating is subjected to review and change from time to time. For details, please contact our staff at the Investment Services Section.

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